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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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| In re Application of: | : | |
| Douglas L. GARDNER et al. | : | |
| Serial No. 10/677,265 | : | Art Unit: (not yet assigned) |
| Filed: October 3, 2003 | : | Examiner: (not yet assigned) |
| For: HIERARCHICAL, MULTILEVEL, EXPAND AND COLLAPSE NAVIGATION AID FOR HIERARCHICAL STRUCTURES | : | Atty Docket: P69199US0 |

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 CFR 1.56. Copies of the documents are also being submitted.

Since the Information Disclosure Statement is filed before the issuance of the first Office Action on the merits or within three months of filing, no fee is required. The Commissioner is hereby authorized to charge fees under 37 CFR § 1.17 which may be required for consideration of this paper; or credit any overpayment to Deposit Account No. 06-1358.

In accordance with 37 CFR § 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

Applicants do not waive any rights to appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.

## CONCLUSION

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and to similarly indicate in the official file wrapper of this patent application that the attached documents have been considered.

If the Examiner has any questions or wishes to discuss this application, the Examiner is invited to telephone the undersigned representative at the number set forth below.

Respectfully submitted,

JACOBSON HOLMAN PLLC

Date: Nov. 25, 2003

By: _____

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# Form PTO-1449

## Information Disclosure Citation

| Attorney Docket | Application No. |
|---|---|
| P69199US0 | 10/677,265 |
| Applicant: Douglas L. GARDNER et al. | |
| Filing Date: October 3, 2003 | Group Art Unit: (not yet assigned) |

### U.S. Patent Documents

| Examiner Initial | | Patent/Appl. Number | Date | Name | Class | Sub-Class | Filing Date |
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| | AJ | | | | | | |
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### Foreign Patent Documents

| | | Document Number | Date | Country | Class | Sub-Class | Trans-lation |
|---|---|---|---|---|---|---|---|
| | AL | | | | | | Yes No |
| | AM | | | | | | Yes No |
| | AN | | | | | | Yes No |
| | AO | | | | | | Yes No |
| | AP | | | | | | Yes No |

### Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|---|---|---|
| | AR | Plaisant et al., "SpaceTree: Supporting Exploration In Large Node Link Tree, Design Evolution And Empirical Evaluation," *Proceedings of the IEEE Symposium on Information Visualization 2002* (2002), pp. 57-64 |
| | AS | |
| | AT | |

| Examiner | Date Considered |
|---|---|
| | |

EXAMINER: Initial if referenc considered, wheth r or not citation is in conformanc with MPEP § 609. Draw line through citation if not in conformanc and not consider d. Include copy of this form with next communication to Applicant.